



INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete if Known		
			Application Number	10/665,974	
			Filing Date	September 18, 2003	
			First Named Inventor	Deem, Mark E.	
			Art Unit	Unassigned	
Examiner Name	Unassigned				
Sheet	1	of	1	Attorney Docket Number	020979-002600US

U.S. PATENT DOCUMENTS+						
Examiner Initials*	Cite No.	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
MP	1	5,071,417		12-10-1991	SINOFSKY	
	2	5,156,613		10-20-1992	SAWYER	
	3	5,540,677		10-29-1996	SINOFSKY	
	4	5,569,239		07-30-1996	SINOFSKY	
	5	5,669,934		09-23-1997	SAWYER	
	6	5,725,522		03-10-1998	SINOFSKY	
	7	5,824,015		10-20-1998	SAWYER	
	8	5,931,165		08-03-1999	REICH et al.	
MP	9	6,391,049		05-21-2002	McNALLY et al.	
	10	6,401,720		06-11-2002	STEVENS et al.	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
msp	11	WO	03/053493		07-03-2003	Ryan et al.		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

Examiner Signature		Date Considered	1/10/06
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PTO/SB/08A and /08B (08-03)

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete if Known	
			Application Number	10/665,974
			Filing Date	September 18, 2003
			First Named Inventor	DEEM et al.
			Art Unit	3738
			Examiner Name	Unassigned
Sheet	3	of	Attorney Docket Number	022128-00030US

U.S. PATENT DOCUMENTS+						
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Number Kind Code ² (if known)				
MP	AA	US-2,580,628	01-01-1952	Welsh		
	AB	US-3,862,627	01-28-1975	Hans, Sr.		
	AC	US-4,556,065	12-03-1985	Hoffmann		
	AD	US-4,929,246	05-29-1990	Sinofsky		
	AE	US-5,056,517	10-15-1991	Fenici		
	AF	US-5,928,266	07-27-1999	Kontos		
	AG	US-6,063,085	05-16-2000	Tay et al.		
	AH	US-6,302,898 B1	10-16-2001	Edwards et al.		
	AI	US-6,355,030 B1	03-12-2002	Aldrich et al.		
	AJ	US-6,383,198 B1	05-07-2002	Hamilton		
	AK	US-6,398,782 B1	06-04-2002	Pecor et al.		
	AL	US-6,676,685 B2	01-13-2004	Pedros et al.		
	AM	US-6,776,784 B2	08-17-2004	Ginn		
	AN	US-2004/0059347 A1	03-25-2004	Hamilton		
	AO	US-2004/0243122 A1	12-02-2004	Auth et al.		

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		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
MP MP	AP	WO	04/043266	A2	05-27-2004	Opolski		<input type="checkbox"/>
	AQ	WO	04/069055	A2	08-19-2004	Frazier et al.		<input type="checkbox"/>
NON PATENT LITERATURE DOCUMENTS								
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.						T ²

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Examiner Signature	<i>M. P. Kelly</i>	Date Considered	<i>11/06/04</i>
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60376719 v1

Substitute for form 1449B/PTO

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STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1

of 1

Complete If Known

Application Number	10/665,974
Filing Date	September 18, 2003
First Named Inventor	DEEM, MARK E.
Art Unit	3738
Examiner Name	Unassigned
Attorney Docket Number	022128-000300US

U.S. PATENT DOCUMENTS+

Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ³ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
MP	1	2003/0208232	11-06-2003	Blaeser et al.	
	2	US-5,709,224	01-20-1998	Behl et al.	
	3	US-5,919,200	06-07-1999	Stambaugh et al.	
	4	US-6,325,798	12-04-2001	Edwards et al.	

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Examiner
Signature*Mr. P. K. K.*Date
Considered*1/10/06*

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Institute for form 1449B/PTO			Complete if Known	
			Application Number	10/665,974
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Filing Date	September 18, 2003
			First Named Inventor	DEEM, MARK E.
			Art Unit	Unassigned
			Examiner Name	Unassigned
Sheet	3	of	Attorney Docket Number	022128-000300US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
mp	AA	US-5,507,744	04-16-1998	Tay et al.	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
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Examiner Signature	<i>M. P. Kelly</i>	Date Considered	1/10/06
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60428952 v1



PTO/SB/08A (08-03)

Substitute for form 1449A

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1

of 8

Complete if Known

Application Number	10/665,974
Filing Date	September 18, 2003
First Named Inventor	DEEM, MARK E.
Art Unit	3738
Examiner Name	Unassigned
Attorney Docket Number	022128-000300US

U.S. PATENT DOCUMENTS+

Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
MP	1	2,275,167		03-03-1942	Bierman	
	2	2,888,928		06-02-1959	Seiger	
	3	3,490,442		01-20-1970	Streu	
	4	3,906,955		09-23-1975	Roberts	
	5	4,307,720		12-29-1981	Weber, Jr.	
	6	4,326,529		04-27-1982	Doss et al.	
	7	4,473,077		09-25-1984	Noiles et al.	
	8	4,485,817		12-04-1984	Swiggett	
	9	4,562,838		01-07-1986	Walker	
	10	4,682,596		07-28-1987	Bales et al.	
	11	4,832,048		05-23-1989	Cohen	
	12	4,884,567		12-05-1989	Elliot et al.	
	13	4,911,159		03-27-1990	Johnson et al.	
	14	4,919,129		04-24-1990	Weber, Jr. et al.	
	15	4,976,711		12-11-1990	Parins et al.	
	16	4,986,889		01-22-1991	Charamathieu et al.	
	17	5,042,707		08-27-1991	Taheri	
	18	5,055,100		10-08-1991	Olsen	
	19	5,057,107		10-15-1991	Parins et al.	
	20	5,071,418		12-10-1991	Rosenbaum	
	21	5,078,717		01-07-1992	Parins et al.	
	22	5,099,827		03-31-1992	Melzer et al.	
	23	5,125,928		06-30-1992	Parins et al.	
	24	5,156,608		10-20-1992	Troidl et al.	
	25	5,171,311		12-15-1992	Rydell	
	26	5,195,959		03-23-1993	Smith	
	27	5,196,007		03-23-1993	Ellman et al.	
	28	5,197,963		03-30-1993	Parins	
	29	5,207,670		05-04-1993	Sinofsky	
	30	5,209,756		05-11-1993	Seedhom et al.	
	31	5,290,278		03-01-1994	Anderson	
	32	5,295,955		03-22-1994	Rosen et al.	
	33	5,300,065		04-05-1994	Anderson	
	34	5,336,221		08-09-1994	Anderson	
	35	5,336,252		08-09-1994	Cohen	
	36	5,342,413		08-30-1994	Hirschberg et al.	
	37	5,345,935		09-13-1994	Hirsch	
	38	5,370,675		12-06-1994	Edwards et al.	
	39	5,405,322		04-11-1995	Lennox et al.	
	40	5,409,479		04-25-1995	Dew et al.	
	41	5,409,481		04-25-1995	Poppas et al.	

Examiner Signature		Date Considered	1/10/06
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60539702 v1

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Complete if Known		
			Application Number	10/665,974	
			Filing Date	September 18, 2003	
			First Named Inventor	DEEM, MARK E.	
			Art Unit	3738	
Examiner Name	Unassigned				
Sheet	2	of	8	Attorney Docket Number	022128-000300US

U.S. PATENT DOCUMENTS+					
Examiner Initials ¹	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
MP	42	5,500,012	03-19-1996	Brucker et al.	
	43	5,505,730	04-09-1996	Edwards	
	44	5,571,216	11-05-1996	Anderson	
	45	5,575,772	11-19-1996	Lennox	
	46	5,584,872	12-17-1996	Lafontaine et al.	
	47	5,611,794	03-18-1997	Sauer et al.	
	48	5,662,643	09-02-1997	Kung et al.	
	49	5,665,109	09-09-1997	Yoon	
	50	5,713,891	02-03-1998	Poppas	
	51	5,730,742	03-24-1998	Wojciechowicz	
	52	5,749,895	05-12-1998	Sawyer et al.	
	53	5,782,899	07-21-1998	Imran	
	54	5,814,065	09-29-1998	Diaz	
	55	5,827,265	10-27-1998	Glinsky et al.	
	56	5,846,196	12-08-1998	Siekmeyer et al.	
	57	5,855,312	01-05-1999	Toledano	
	58	5,871,443	02-16-1999	Edwards et al.	
	59	5,925,078	07-20-1999	Anderson	
	60	5,944,738	08-31-1999	Amplatz et al.	
	61	5,972,023	10-26-1999	Tanner et al.	
	62	5,972,024	10-26-1999	Northrup, III et al.	
	63	6,004,316	12-21-1999	Laufer	
	64	6,036,699	03-14-2000	Andreas et al.	
	65	6,056,760	05-02-2000	Koike et al.	
	66	6,063,081	05-16-2000	Mulier	
	67	6,083,223	07-04-2000	Baker	
	68	6,086,586	07-11-2000	Hooven	
	69	6,087,552	07-11-2000	Gregory	
	70	6,132,429	10-17-2000	Baker	
	71	6,149,660	11-21-2000	Laufer et al.	
	72	6,156,032	12-05-2000	Lennox	
	73	6,168,594	01-02-2001	Lafontaine	
74	6,221,068	04-24-2001	Fried et al.		
75	6,257,241	07-10-2001	Wampler		
76	6,290,674	09-18-2001	ROUE et al.		
77	6,323,037	11-27-2001	Lauto et al.		
78	6,398,779	06-04-2002	Buysee et al.		
79	6,398,782	06-04-2002	Pecor et al.		
80	6,401,720	06-11-2002	Stevens et al.		
81	6,419,669	07-16-2002	Frazier et al.		
82	6,436,088	08-20-2002	Frazier et al.		

Examiner Signature	<i>MP</i>	Date Considered	1/10/06
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Complete if Known		
			Application Number	10/665,974	
			Filing Date	September 18, 2003	
			First Named Inventor	DEEM, MARK E.	
			Art Unit	3738	
Examiner Name	Unassigned				
Sheet	3	of	8	Attorney Docket Number	022128-000300US

U.S. PATENT DOCUMENTS+					
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		Number Kind Code ² (if known)			
MP	83	6,456,865	09-24-2002	Samson	
	84	6,458,100	10-01-2002	Roue et al.	
	85	6,482,224	11-19-2002	Michler et al.	
	86	6,514,250	02-04-2003	Jahns et al.	
	87	6,558,314	05-06-2003	Adelman et al.	
	88	6,562,037	05-23-2003	Paton et al.	
	89	6,583,117	06-24-2003	Owen et al.	
	90	6,584,360	06-24-2003	Francischelli et al.	
	91	6,589,237	07-08-2003	Woloszko	
	92	6,606,523	08-12-2003	Jenkins	
	93	6,641,604	11-04-2003	Adelman	
94	6,645,202	11-11-2003	Pless et al.		
95	6,645,225	11-11-2003	Atkinson		
96	6,648,897	11-18-2003	Hamilton		
97	6,652,518	11-25-2003	Wellman		
98	6,669,693	12-30-2003	Friedman		
99	6,682,546	01-27-2004	Amplatz		
100	6,702,835	03-09-2004	Ginn		
101	6,712,804	03-30-2004	ROUE et al.		
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103	6,716,211	04-06-2004	Muller et al.		
104	6,730,108	05-04-2004	Van Tassel et al.		
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106	6,755,790	06-29-2004	Stewart et al.		
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108	6,846,319	01-25-2005	Ginn et al.		
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110	2001/0037129	11-01-2001	Thill		
111	2002/0128672	09-12-2002	Dinger et al.		
112	2002/0143322	10-03-2002	Haghighi		
113	2003/0028189	02-06-2003	Woloszko et al.		
114	2003/0045893	03-06-2003	Ginn		
115	2003/0050665	03-13-2003	Ginn		
116	2003/0065364	04-03-2003	Wellman et al.		
117	2003/0069570	04-10-2003	Witzel		
118	2003/0078578	04-24-2003	Truckai et al.		
119	2003/0093071	05-15-2003	Hauck et al.		
120	2003/0120268	06-26-2003	Bertolero et al.		
121	2003/0144652	07-31-2003	Baker et al.		
122	2003/0144694	07-31-2003	Chanduszko et al.		
123	2003/0158551	08-21-2003	Paton et al.		

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			Art Unit	3738	
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MP	124	2003/0225421	12-04-2003	Peavey et al.	
	125	2003/0233091	12-18-2003	Whayne et al.	
	126	2004/0098031	05-20-2004	Van der Burg et al.	
	127	2004/0098042	05-20-2004	Devellian et al.	
	128	2004/0102721	05-27-2004	Mckinley	
	129	2004/0143292	07-22-2004	Marino et al.	
	130	2004/0153057	08-05-2004	Davison	
	131	2004/0153098	08-05-2004	Chin et al.	
	132	2004/0176799	09-09-2004	Chanduszko et al.	
	133	2004/0220596	11-04-2004	Frazler et al.	
	134	2004/0249398	09-04-2004	Ginn	
	135	2005/0021059	01-27-2005	Cole et al.	
	136	2005/0033288	02-10-2005	Auth et al.	
MP	137	2005/0033327	02-10-2005	Gainor et al.	
	138	2005/0055050	03-10-2005	Alfaro	
	139	2005/0065506	03-24-2005	Phan	
	140	2005/0065509	03-24-2005	Coldwell et al.	
	141	2005/0070923	03-31-2005	Mcintosh	
	142	2005/0119675	06-02-2005	Adams et al.	
	143	RE33925	05-12-1992	Bales et al.	

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		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
MP	144	EP	135840	A2	04-13-1985	Nelcor Incorp.		<input type="checkbox"/>
	145	EP	199694	A2	10-29-1986	Astra-Tech Aktiebolag		<input type="checkbox"/>
	146	EP	0265532	A1	05-04-1988	Fukuda Denshi Co. Ltd		<input type="checkbox"/>
	147	EP	0375556	A1	06-27-1990	Stmi Soc Tech Milieu Ionisant		<input checked="" type="checkbox"/>
	148	EP	0428812	A1	05-29-1991	Consiglio Nazionale Delle Ricerche		<input type="checkbox"/>
	149	EP	0947165	A1	10-06-1999	Nissho KK		<input type="checkbox"/>
MP	150	GB	1260919		01-19-1972	Spitalul Clinic De Obstetrica Si Ginecolo- Gie Polizu		<input type="checkbox"/>
Examiner Signature		<i>U. C. Kelly</i>			Date Considered	1/10/06		

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60539702 v1

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>			Complete if Known		
			Application Number	10/665,974	
			Filing Date	September 18, 2003	
			First Named Inventor	DEEM, MARK E.	
			Art Unit	3738	
Examiner Name	Unassigned				
Sheet	5	of	8	Attorney Docket Number	022128-000300US

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
MP	151	GB	1550676		08-15-1979	Victers Ltd		<input type="checkbox"/>
	152	GB	2 359 024	A	08-15-2001	Anson Medical Inc		
	153	WO	85/00018	A1	01-03-1985	Medical Developments Limited		
	154	WO	87/04081	A1	07-16-1987	Licencia Talamanyokat		
	155	WO	90/04352	A1	05-03-1990	Nelcor Incorp.		
	156	WO	91/15996	A1	10-31-1991	Egnell Ameda Limited		
	157	WO	92/04864	A1	04-02-1992	Merwe Marius V D		
	158	WO	93/05705	A1	04-01-1993	Surgic-Raft Limited		
	159	WO	93/15791	A1	08-19-1993	Dimed Inc.		
	160	WO	94/00178	A1	01-06-1994	Schneider USA Inc.		
	161	WO	98/07375	A1	02-26-1998	Univ Columbia		
	162	WO	99/18870	A1	04-22-1999	Hearten Medical Inc.		
	163	WO	99/18862	A1	04-22-1999	Hearten Medical Inc.		
	164	WO	99/18864	A1	04-22-1999	Hearten Medical Inc.		
	165	WO	00/07506	A2	02-17-2000	Anson Medical Ltd		
	166	WO	00/09027	A1	02-24-2000	Keravision, Inc.		
	167	WO	01/78596	A1	10-25-2001	Appriva Medical Inc		
168	WO	03/022159	A1	03-20-2003	Core Medical Inc			
169	WO	03/022160	A1	03-20-2003	Core Medical Inc			
170	WO	03/026496	A2	04-03-2003	Ethicon, Inc			
171	WO	03/071957	A2	09-04-2003	Integrated Vascular (Abbott)			
172	WO	03/082076	A2	10-09-2003	NMT Medical Inc			
173	WO	03/094742	A1	11-20-2003	Velocimed LLC			
174	WO	2004/019791	A2	03-11-2004	Scimed Life Systems Inc			
175	WO	2004/082532	A1	09-30-2004	EV3 Sunnyvale Inc.			
176	WO	2004/091411	A2	10-28-2004	Velocimed PFO Inc			
177	WO	2005/006990	A2	01-27-2005	NMT Medical Inc			

Examiner Signature	<i>[Signature]</i>	Date Considered	11/10/06
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			Application Number	10/665,974	
			Filing Date	September 18, 2003	
			First Named Inventor	DEEM, MARK E.	
			Art Unit	3738	
Examiner Name	Unassigned				
Sheet	6	of	8	Attorney Docket Number	022128-000300US

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
MP	178	WO	2005/027753	A1	03-31-2005	St Jude Medical		
MP	179	WO	2005/034738	A2	04-21-2005	Proximare Inc		

Examiner Signature		Date Considered	1/10/02
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		Application Number	10/665,974		
		Filing Date	September 18, 2003		
		First Named Inventor	DEEM, MARK E.		
		Art Unit	3738		
		Examiner Name	Unassigned		
Sheet	7	of	8	Attorney Docket Number	022128-000300US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
MP	180	ANZOLA et al., "Potential Source of Cerebral Embolism in Migraine with Aura," <i>Neurology</i> (1999) 52(8): 1622	
	181	De CASTRO et al., "Morphological and Functional Characteristics of Patent Foramen Ovale and Their Embolic Implications," <i>Stroke</i> (October 2002), pp. 2407-2413	
	182	CORDIS CORPORATION, Cordis Ducor® Lumeleo™ Eelectrode Catheters [brochure], Cordis Corporation, (December 1984), 2 pages.	
	183	DEL SETTE, "Migraine with Aura and Right-to-Left Shunt on Transcranial Doppler: A Case Control Study," <i>Cerebrovas Dis</i> (1998) 8:327-330	
	184	GILLETTE, "Catheter Ablation in Dysrhythmias," <i>Cardio</i> , (March 1984), pp. 67-69	
	185	HO et al., "Morphological Features Pertinent to Interventional Closure of Patent Oval Foramen," <i>J Interventional Cardiology</i> , Vol. 16 No. 1, (2003), pp. 33-34	
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	188	MORADY, "Transvenous Catheter Ablation of a Posteroseptal Accessory Pathway in a Patient with the Wolff Parkinson-White Syndrome," <i>The New England Journal of Medicine</i> , (March 15, 1984), 310(11): 705-707	
	189	MORANDI et al., "Transcatheter Closure of Patent Foramen Ovale: A New Migraine Treatment?" <i>J Interventional Cardiology</i> , (2003), 16(1): 39-42	
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	192	POLGAR et al., "Comparison of Two Different Techniques for Closed-Chest His Bundle Ablation," In: Perez Gomez F, ed. <i>Cardiac Pacing Electrophysiology Tachyarrhythmias</i> . Madrid, Spain: Grouz Publishers; 1985:1578-1587	
MP	193	POLGAR, "Closed Chested Ablation of His Bundle: A New Technique Using Suction Electrode Catheter and DC Shock," <i>Nachdruck Aus: Cardio Pacing</i> , (1983), pp. 883-890	

Examiner Signature	<i>M. J. Kelly</i>	Date Considered	<i>11/06/04</i>
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